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Tanya Parker  
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Tanya Parker  
(Signature of Person Transmitting Paper or Fee)

**PATENT APPLICATION**  
**Attorney Docket No. OR00-01101**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF )

Daniel ManHung Wong )

Serial No. 09/559,206 )

Filing Date: 26 April 2000 )

Title: REFORMING QUERIES TO SELECTIVELY )  
AUDIT ACCESSSES TO ROWS WITHIN A )  
RELATIONAL DATABASE )

Examiner: Baoquoc N. To

Group Art Unit: 2172

**REQUEST FOR REFUND FOR FEES OVERPAID**

Assistant Commissioner for Patents  
M/S: Refund Section, Financial Accounting Division, Office of Finance  
P.O. Box 1450  
Arlington, VA 22313-1450

Sir:

On 12 February 2004 an RCE and amendment was filed with the USPTO, along with check number 6496 for \$1,082.00 to cover the RCE fee (\$770.00) and the fee for three additional independent claims (copy of transmittal enclosed). This was received at the USPTO on 17 February 2004 (copy of stamped postcard enclosed). On 08 November 2005 another RCE and amendment was filed and received at the USPTO on 10 November 2005 (copy enclosed). There were no additional independent claims in this amendment past the independent claims that we already paid for. On 22 December 2005 our deposit account number 50-1003 was charged an additional \$600.00 fee for the same independent claims that were paid for on 17 February 2004 (copy of monthly statement of deposit account enclosed).

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Adjustment date: 02/10/2006 SFELEKE1  
12/22/2005 KWAISON 00000001 501003 09559206  
01 FC:1801 600.00 CR

Please refund \$600.00 to our deposit account number 50-1003 (Docket Number: OR00-01101). Thanks.

Enclosed please find:

1. a copy of our monthly statement of deposit account;
2. a copy of check number 6496, dated 12 February 2004;
3. a copy of postcard returned from the USPTO dated 12 February 2004;
4. a copy of the amendment transmittal dated 12 February 2004;
5. a copy of the RCE transmittal dated 12 February 2004;
6. a copy of check 8401 (for second RCE fee) and postcard dated 08 November 2005.

Respectfully submitted,

By:   
A. Richard Park, Attorney-of-Record  
Reg. No. 41,241

Park, Vaughan & Flemming, LLP  
2820 Fifth Street  
Davis, CA 95616  
Tel: (530) 759-1661  
Fax: (530) 759-1665  
Email: richard@parklegal.com

Date: 24 January 2006



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
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A. RICHARD PARK  
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Date	12-30-05
Page	1

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2/12/2004

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One Thousand Eighty-Two and 00/100\*\*\*\*\*

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Commissioner of Patents and Trademarks

MEMO RCE Transmittal fee: OR00-01101: 09/559.206

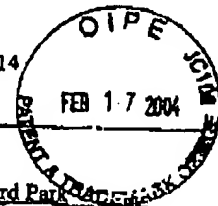
⑈006496⑈ ⑆121042882⑆5499936408⑈

Patent Application for:

REFORMING QUERIES TO SELECTIVELY AUDIT ACCESSES TO ROWS WITHIN A  
RELATIONAL DATABASEInventor(s): Daniel ManHung WongPatent Application No. 09/559.206

Please stamp and confirm receipt in the U.S. Patent Office of the following:

- ☒ RCE Transmittal;  
☒ Amendment Transmittal; and  
☒ Amendment in accordance 37 C.F.R. § 1.114  
☒ Check for \$ 1,082.00
- ☐ Other  
☒ First Class Mail Certification

Attorney Docket No.: OR00-01101 Attorney: A. Richard Park  
Date Mailed: February 12, 2004

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Wendy Scott

(Typed or Printed Name of Person Mailing Paper or Fee)

*Wendy Scott*  
(Signature of Person Mailing Paper or Fee)

Application Number : 09/559,206  
Applicant : Daniel ManHungWong  
Filed : April 26, 2000  
TC/A.U. : 2172  
Examiner : To, BaoQuoc N.

Confirmation Number: 1513

Docket Number : OR00-01101  
Customer No. : 22,835

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Technology Center 2100

**AMENDMENT TRANSMITTAL LETTER**

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- ☒ Amendment in accordance 37 C.F.R. § 1.114.
- ☐ A petition for extension of time is also enclosed with a fee of \$0.00 for a one-month extension for a small entity.
- ☐ Terminal disclaimer under 37 C.F.R. § 1.321(c), including
  - ☐ check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
  - ☐ 2 certificates under 37 C.F.R. § 3.73(b).
- ☐ Information disclosure statement, form 1449 and \_\_\_ references.
- ☐ No additional claims fees are required.

**Match and Return**

[x] An additional fee is required, and is calculated as shown below:


	NO. OF CLAIMS	HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	ADDTL FEE
Total Claims	27	MINUS - 24	3	x \$18 =	\$54.00
Independent Claims	6	MINUS - 3	3	x \$86 =	\$258.00
If Amendment adds multiple dependent claims, add \$260.00					
Total Amendment Fee					\$312.00
If small entity status is claimed, subtract 50% of Total Amendment Fee					
TOTAL ADDITIONAL FEE DUE FOR THIS AMENDMENT					\$312.00

- [ ] A check in the amount of \$312.00 is enclosed.  
 [ ] Charge \$\_\_\_\_\_ to Deposit Account No. \_\_\_\_\_ (Docket No. \_\_\_\_\_).  
 [x] Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. OR00-01101).

Edward J. Grundler  
 Park, Vaughan & Fleming LLP  
 508 Second Street, Suite 201  
 Davis, CA 95616  
 Tel: (530) 759-1663  
 FAX: (530) 759-1665

Respectfully submitted,

By

  
 Edward J. Grundler  
 Registration No. 47,615

Date: February 12, 2004



#17  
20072709

Approved for use through 10/31/2002: OMB 0651-0001  
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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective May 29, 2000 provides for continued examination of a utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Complete If Known	
Application Number	09/559,206
Filing Date	April 26, 2000
First Named Inventor	Daniel ManHung Wong
Group/Art Unit	2172
Examiner Name	To, Baoquoc N.
Attorney Docket Number	OR00-01101

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 for the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.51(d) (PTO/SB/29) instead of an RCE to be eligible for the patent term adjustment provisions of the AIPA. See, *Changes to Application Examination and Provisional Application Practice*, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission Required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on (Any unexamined amendment(s) in front to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other:
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other:

02/18/2004 HZEMH1E1 00000100 09559206

01 FC:1801  
02 FC:1801  
03 FC:1802

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FEB 20 2004  
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770.00 IP  
254.00 IP  
34.00 IP

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(e) for a period of \_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.170 required.)
- b. ☐ Other:

## 3. Fees (The RCE Fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.)

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1003 (Docket No. OR00-01101)
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☒ Other: Please deduct any underpayments and credit any overpayments from Deposit Account Number 50-1003.
- b. ☒ Check in the amount of \$ 1,082.00 (\$770. for RCE fee and \$312 for amendment fees, enclosed)
- c. ☐ Payment by credit card (Form PTO-2038 enclosed).

## SIGNATURE OF APPLICATION, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Edward J. Grundler	Registration No. (Attorney/Agent)	47,615	Telephone	530-759-1663
Signature	<i>Edward J. Grundler</i>	Date	February 12, 2004		

## CERTIFICATE OF MAILING OR TRANSMISSION

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Name (Print/Type)	Wendy Scott	Date	February 12, 2004
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NO 09/559,206; RCE Transmittal fee; OR00-01101

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*Richard Park*

Patent Application for:

REFORMING QUERIES TO SELECTIVELY AUDIT ACCESSES TO ROWS WITHIN A  
RELATIONAL DATABASE.Inventor(s): Daniel ManHung WongPatent Application No. 09/559,206

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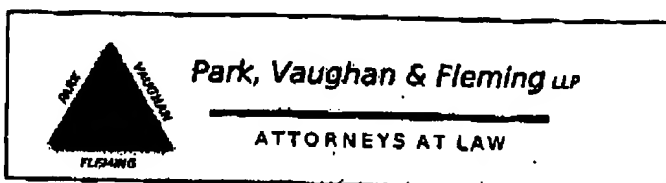
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- Amendment Transmittal; and
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Attorney Docket No.: OR00-01101 Attorney: A. Richard Park  
Date Mailed: 8 November 2005RECEIVED  
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FROM:	A. Richard Park	DATE:	24 January 2006
TITLE:	Attorney at Law	PHONE:	
TOTAL NO. OF PAGES INCLUDING COVER: 9			
RE: Request for refund OROO-01101			

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## NOTES/COMMENTS:

Dear Representative,

Attached please find a request for refund for independent claims fee already paid.

Regards,

A. Richard Park Reg. No. 41,241

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